From: UConn Deans, Directors, Department Heads and Administrative Assistants [UCONN_3D-

AL@LISTSERV.UCONN.EDU] on behalf of Nicholls, Peter [peter.nicholls@UCONN.EDU]

Sent: Friday, March 20, 2009 3:56 PM

To: UCONN_3D-AL@LISTSERV.UCONN.EDU

Subject: GA Tuition on Grants

Dear Colleagues:

It has been some time now since the ad hoc committee to study the question of tuition on grants issued its report to the University Senate and to me. I am very grateful to the members of this committee, but most particularly, the co-chairs Suman Singha and Eric Schultz for all the time and effort that they devoted to the study of this matter and for the preparation of such a thorough report.

The committee estimates that the imposition of a policy charging tuition to grants would result in revenue to the institution of approximately \$2.5 million. This estimate takes into account such things as the fact that some agencies do not permit tuition charges and others that do sometimes impose maximum caps on the size of an award. The committee notes that most major universities charge at least a portion of the in-state tuition to grants. But the committee was concerned that our generous stipend levels and benefits already make the University of Connecticut somewhat expensive in terms of the cost of hiring a graduate student and the proposed new policy would exacerbate this situation. The committee stated that elimination of the waiver could have other repercussions such as the support of post docs rather than graduate students and also noted that there was widespread concern about this matter across the University.

Weighing all of these factors, and taking into account the very serious fiscal challenges that the University faces in the immediate future, I have decided that the University will implement a policy of charging a portion of tuition to grants and this will be effective with new grant proposals and renewals submitted after July 1, 2009. I have engaged in discussion with members of the Senate Executive Committee as to the amount of a tuition charge and the method of its calculation. We have had a good exchange and recognition of each other's point of view that has enabled me to shape a reasonable policy. The policy will call for the collection of 60 percent of the allowable in-state tuition charge for a graduate student supported on a grant that permits such charges. The money collected will be used entirely for the support of research programming at the University and the whole matter will be reviewed after an initial three-year period (i.e., in the summer of 2012). I anticipate working with the Council of Deans and also with the Senate Executive Committee on the formulation of a review/appeal process (as recommended in the committee report) and also on a transparent and fair process for the distribution of revenues received under this program.

I appreciate your cooperation in the implementation of this policy, and your appreciation of the truly extraordinary fiscal challenges that we face.

Yours Sincerely,

Peter Nicholls, Provost and Executive Vice President for Academic Affairs, University of Connecticut, Gulley Hall, 352 Mansfield Rd, Storrs, CT 06269 860 486 4037

IMPLEMENTATION GUIDELINES: CHARGING OF GRADUATE TUITION TO GRANTS

These guidelines serve as a follow up to the Provost's announcement of March 20, 2009 regarding the charging of tuition on grants. Effective for all proposals submitted by the Office for Sponsored Programs (OSP) on/after July 1, 2009 graduate tuition charges should be itemized as a direct cost on proposal budgets. Details as follows:

- Unless prohibited by the sponsor, all budgets must include a line item for 60% of full time instate tuition for each graduate student assigned to the project. Should the actual tuition be lower than budgeted, the balance can be rebudgeted.
- The charge will be included as a direct cost and will <u>not</u> be subject to fringes or indirect costs.
- All proposals with a deadline date on or after July 1, 2009 will be subject to this policy.
- The tuition charge for graduate assistants budgeted at less than full time will be pro-rated based on the percentage of their appointment.
- Resubmissions of previously declined proposals will be assessed the charge.
- Sponsor-requested budget revisions of proposals submitted prior to July 1, 2009 will not be assessed the charge.
- Tuition increases for the outgoing years will be projected at 6% (recognizing that this is subject to change.)

Note: Where charging tuition on grants is prohibited by the sponsor there will be no charge to the PI, the department, or the school/college.

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